

RECEIVED  
CENTRAL FAX CENTER

NOV 15 2004

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  
Approved for use through 07/31/2006. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**Certificate of Transmission under 37 CFR 1.8**

Serial No. 09/931,037

Application of: James Kong, et al.

Filed: August 17, 2001

Art Unit: 2122

Examiner: Kuo-Liang J Tang

Attorney Docket No. P5798

For: **PROFILE MANAGEMENT FOR UPGRADE UTILITY**

Confirmation No.: 2681

Customer No.: **32658**


I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

1. Amendment in response to the Office Action dated September 22, 2004.

on November 15, 2004  
Date

14  
No. of Pages  
(Incl. Coversheet)

to centralized fax number: 703-872-9306

  
Signature

Joan C. Schubert  
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or its certificate must identify each submitted paper.

Client Reference No. 80168.0241

Fax No. 720-406-5301

**RECEIVED  
CENTRAL FAX CENTER**

NOV 15 2004

Client Matter No. 80168.0241  
Via Facsimile

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|  |  |
|--|--|
| Serial No. 09/931,037<br>Application of: James Kong et al.<br>Filed: August 17, 2001<br>Art Unit: 2122<br>Examiner: Kuo-Liang J. Tang<br>Attorney Docket No. P5798<br>For: PROFILE MANAGEMENT FOR<br>UPGRADE UTILITY | Confirmation No.: 2681<br>Customer No.: <b>32658</b> |
|--|--|

**AMENDMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the office communication mailed September 22, 2004, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 4 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.